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To: MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: May 26, 2004

Number of Pages: Cover + 12  
FAX NO.: (703) 872-9306

Attn: Examiner James S. McClellan

From: Caroline Do, Esq. - Reg. No. 47,529

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ARE ILLEGIBLE OR ARE NOT RECEIVED  
TEL: (949) 660-5000  
FAX: (949) 660-1801

Re: U.S. Patent Application Serial No.:  
09/912,079  
Filing Date: July 24, 2001  
Title: SYSTEM, METHOD AND  
ARTICLE OF MANUFACTURE FOR  
IDENTIFYING AND TRACKING  
USAGE OF A LAZER-CENTRIC  
MEDIUM  
Confirmation No.: 3412  
Art Unit: 3627  
Inventor: Todd R. COLLART  
Attorney Docket No.:  
IA 1510.01 US

**PLEASE ACKNOWLEDGE RECEIPT OF THIS COMMUNICATION.**

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May 26, 2004

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MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CUSTOMER NUMBER

**22887**

PATENT TRADEMARK OFFICE

Re: U.S. Patent Application Serial No.: 09/912,079  
Filed: July 24, 2001  
Confirmation No.: 3412  
Title: SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR  
IDENTIFYING AND TRACKING USAGE OF A LAZER-CENTRIC  
MEDIUM  
Inventor: Todd R. Collart  
Attorney Docket No.: IA 1510.01 US

Dear Sir:

Enclosed for filing in the above-referenced application are the following documents:

1. RCE Transmittal Form (1 page);
2. Fee Transmittal for FY 2004 (1 page);
3. Copy of Amendment and Response Under 37 C.F.R. §1.116, filed April 26, 2004 (Pages 1 – 9);
4. Cover Letter (Document Control Number: 6UWWK5); and
5. Certificate of Facsimile Transmission dated May 26, 2004 to (703) 872-9306.

Please acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

A handwritten signature in cursive script, appearing to read 'Caroline Do'.

Caroline Do, Esq.  
Reg. No. 47,529  
Senior Patent Prosecution Attorney  
INTELLECTUAL PROPERTY DEVELOPMENT

CD:rmf

Enclosures

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office Fax No. (703) 872-9306 on:

May 26, 2004  
(Date)

Renee M. Franks  
(Type or print name)

A handwritten signature in cursive script, appearing to read 'Renee M. Franks'.  
(Signature)

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
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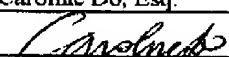
Application Number	09/912,079
Filing Date	July 24, 2001
First Named Inventor	Todd R. COLLART
Art Unit	3627
Examiner Name	James S. McClellan
Attorney Docket Number	LA 1510.01 US

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
 Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☒ Other Amendment and Response Under 37 C.F.R., dated April 26, 2004
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s) / Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_
3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 04-1175
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

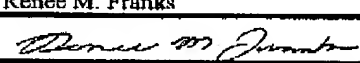
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Caroline Do, Esq.	Registration No. (Attorney/Agent)	47,529
Signature		Date	May 26, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Renee M. Franks
Signature	
Date	May 26, 2004

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00

## Complete if Known

Application Number 09/912,079  
Filing Date July 24, 2001  
First Named Inventor Todd R. COLLART  
Examiner Name James S. McClellan  
Art Unit 3627  
Attorney Docket No. IA 1510.01 US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account:

Deposit Account Number 04-1175  
Deposit Account Name Discovision Associates

The Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee \$	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	150	80	Provisional filing fee	

SUB TOTAL (1) (\$ -0-

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20\*\* =  x  =   
Independent Claims  -3\*\* =  x  =   
Multiple Dependent  =

Large Entity	Small Entity	Fee Code	Fee \$	Fee Description	Fee Paid
1202	2202	18	9	Claim in excess of 20	
1201	2201	86	43	Independent claims in excess of 3	
1203	2203	290	145	Multiple dependent claim, if not paid	
1204	2204	88	43	** Reissue independent claims over original patent	
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ -0-

\*\* or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee \$	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	2053	130	130	Non-English specification	
1812	2812	2,520	2,520	For filing a request for ex parte reexamination	
1804	2804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	2805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	420	210	Extension for reply within second month	
1253	2253	950	475	Extension for reply within third month	
1254	2254	1,460	740	Extension for reply within fourth month	
1255	2255	2,010	1,005	Extension for reply within fifth month	
1401	2401	330	165	Notice of Appeal	
1402	2402	330	165	Filing a brief in support of an appeal	
1403	2403	290	145	Request for oral hearing	
1451	2451	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,330	665	Petition to revive - unintentional	
1501	2501	1,330	665	Utility Issue fee (or reissue)	
1502	2502	480	240	Design Issue fee	
1503	2503	640	320	Plant Issue fee	
1460	2460	130	130	Petitions to the Commissioner	
1807	2807	50	50	Processing fee under 37 CFR 1.17(q)	
1806	2806	180	180	Submission of Information Disclosure Stmt.	
8021	28021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	385	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
1810	2810	770	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	770	385	Request for Continued Examination (RCE)	770.00
1802	2802	900	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 770.00

## SUBMITTED BY

Name Printed/Types Caroline Do, Esq.  
Signature *Caroline Do*

Registration No. 47,529  
Attorney / Agent

## Complete (if applicable)

Telephone (949) 660-5000  
Date May 26, 2004

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